

# UNITED STATES DISTRICT COURT DISTRICT OF KANSAS

REVISED 9/2019



## FILING YOUR LAWSUIT IN FEDERAL COURT: A Pro Se Guide

Disclaimer: The contents of the Pro Se Packet and Pro Se Guide are provided for informational purposes only and do not constitute legal advice.

# Table of Contents

Before You File Your Case.....	1
Consider ways to resolve your dispute/problem outside court .....	1
Are you in the right court? .....	2
Types of cases filed in federal court .....	2
Are your claims timely? .....	3
Other issues to consider .....	4
Filing Your Case.....	5
Rules that you must follow .....	5
Forms you will need to complete.....	6
Complaint .....	6
Civil Cover Sheet.....	7
Summons in a Civil Action .....	9
Motion to Proceed Without Prepayment of Fees .....	10
Motion for Appointment of Counsel.....	10
Affidavit of Financial Status .....	11
How to file your case .....	11
What does the clerk’s office do with the case information? .....	12
Paying court fees and payment options .....	13
Electronic filing system .....	13
What the clerk’s office can and cannot do .....	13
Service of Summons and Complaint.....	15
What does service mean? .....	15
When must it be done?.....	15
Who must be served?.....	15
Who serves the documents? .....	16
Serving a summons on a federal agency .....	17
How does the court know when the summons has been served? .....	17
What Happens After the Case is Filed.....	18
Case assignment.....	18
A judge rules on the motion to proceed without prepayment of fees (if filed) .....	19
A judge rules on the motion for appointment of counsel (if filed) .....	19
Defendants do not file an answer .....	20
Defendants file an answer .....	20
Pretrial stage .....	20
Final pretrial conference.....	21

Dispositive motions .....	21
Trial .....	22
Judgment.....	23
Notice of Appeal .....	23
Resources That May Help You.....	25
Where can you get legal advice? .....	25
Where can you do legal research? .....	26
Glossary .....	27

## Before You File Your Case

### Consider ways to resolve your dispute/problem outside court

**A**re you having a disagreement with another person, business or government agency? Are you thinking about going to court to ask a judge to resolve a disagreement or solve a problem for you? The federal court is one type of court that can help people resolve disputes. When two or more people (or a person and a business or government agency) have a disagreement and want a judge to listen to the facts, we call this a “case” or a “lawsuit.” Before you decide to file a case in federal court, you may want to consider other ways to solve your dispute or problem. Here are a few suggestions:

- **Try talking to the person, business, or government agency that you feel has done something wrong or try sending a letter asking the person, business, or government agency to fix the problem.** Many government agencies have requirements or special rules you must follow before filing a “case” in court.
- **Seek help from other sources.** There are many different agencies that may be able to provide help. Part 5 (on page 25) provides a list of agencies that you may want to call for help.
- **Contact an attorney.** An attorney will be able to help you make sure that federal court is the right place to solve your problem. An attorney will also be able to provide you with more information about resources that may help you. You have the right to file a case without an attorney’s help. This is known as “representing yourself” or “proceeding pro se.” If you are representing yourself, the judge will still expect you to state your complaints clearly, to meet all your deadlines, and to follow the rules. An attorney can help explain these rules.

If you can afford to hire your own attorney, but don't know any, you might consider calling the Kansas Bar Association Referral Service (800-928-3111 or 316-265-1247) or the Kansas City Metropolitan Bar Association (816-221-9472) ([www.kcmba.org/PublicService/lrs.htm](http://www.kcmba.org/PublicService/lrs.htm)). These organizations can tell you which lawyers practice the type of law that you need help with. Please see Part 5, "Resources That May Help You," on page 25 for more resources.

## Are you in the right court?

Before you file a case, you need to make sure that your case should be filed in federal court. State courts have jurisdiction over virtually all divorce and child custody matters and inheritance issues, real estate questions, and juvenile matters, and they handle most criminal cases, contract disputes, traffic violations, and personal injury cases.

When deciding if your law suit belongs in federal court, here are some questions that you should ask:

- Is your case about divorce, child custody, adoption, a will, a name change, a decision of a state agency, a city traffic ticket or a parking ticket, a landlord-tenant dispute, or a zoning or other local ordinance?

If so, you are probably in the wrong court. State courts hear cases about matters that are regulated by state law. Each Kansas county has a District Court that decides matters brought under state law or the state Constitution. Every city has a City or Municipal Court that decides matters brought under the municipal code.

- Is your case about medical malpractice or an injury caused by another person?

If so, you may be in the wrong court. It depends on the amount of money in dispute and the citizenship of the parties. See the discussion below on the types of cases filed in federal court..

- Is your case about being treated differently or being fired because of your age, race, religion, sex, or because you have a disability; the denial of Social Security or other federal benefits; a decision of a federal agency; or a military installation?

If so, you are probably in the right court.

## Types of cases filed in federal court

Federal courts are courts of limited jurisdiction. There are three types of cases that may be filed in the federal courts:

### 1. Cases where the United States government is a party.

The federal courts hear lawsuits for benefits from the Social Security Administration or Veterans Administration or cases against a federal agency, such as the United States Postal Service or the Internal Revenue Service.

## 2. Cases brought under federal law.

The federal courts hear specific types of cases described in the U.S. Constitution or specifically provided for by Congress. Federal laws may cover issues not addressed by state laws, such as interstate commerce, damages at sea, labor laws, environmental matters, agriculture, federal tax matters, and many other areas. Some federal laws may duplicate state laws, such as in civil rights matters.

## 3. Cases where the parties reside in different states

Lawsuits between parties residing in different states are called “diversity cases.” For example, if you live in Kansas and you file a lawsuit against a defendant who lives in Missouri, then there would be “diversity.” In a diversity case, the defendant may challenge your decision to file the lawsuit in a particular U.S. District Court by filing a motion. For example, if you file your lawsuit in the District of Kansas but the defendant believes that the lawsuit should have been filed in the Eastern District of Missouri, then the defendant may file a motion challenging your decision to file the lawsuit in the District of Kansas. If the defendant files this kind of motion, the judge will decide which U.S. District Court should hear your case. The decision where a case should be heard is called “venue.” In determining the proper venue for a case, the judge will consider factors such as where the case was filed, where the majority of the parties reside, where the witnesses are located, and where the injury or harm occurred. Generally, a case is heard in the same location it was filed; however, the judge may determine that another location is more appropriate.

Diversity cases must involve a claim valued in excess of \$75,000.00. If your case does not seek more than that amount, you may need to file your claim in state court.

## Are your claims timely?

A statute of limitations is the period of time set by law within which a lawsuit must be filed. This period of time ordinarily begins when the injury occurs or a right has been violated. If you fail to bring your claim within the time allowed by statute, your lawsuit may be dismissed.

You should consult the statute that applies to your claim, but the following are some current examples of statutes of limitations:

- most personal injury cases: 2 years: [KSA § 60-513](#)
- most civil rights violations: 2 years: [KSA § 60-513](#)
- Social Security: 60 days from Commissioner’s decision: [20 CFR § 405.501](#); [20 CFR § 422.210](#); [42 USC § 405\(e\)](#); [42 USC § 1383 \(c\)\(3\)](#)
- Title VII: 90 days from the date on the EEOC right to sue letter [29 CFR § 1601.28\(e\)](#);

## Other issues to consider

Before you file, please consider:

- **Rule 11 of the Federal Rules of Civil Procedure.** This rule prohibits the filing of lawsuits that are clearly frivolous or filed just to harass someone. **If the court determines that you have filed a lawsuit for an improper or unnecessary reason, it may impose sanctions against you, including ordering that you pay any legal fees of the party that you sued.**
- **What Happens If You Lose?** If you lose, the winning party may ask that you be ordered to pay his/her attorneys' fees. The winning party is also entitled to seek certain costs that it incurs during a lawsuit. These costs can include things such as deposition transcripts, witness fees, copy expenses, etc. In many cases, these fees may add up to thousands of dollars. It is common for a winning party to seek these costs from the losing party.

If you have decided to proceed with filing a lawsuit, you should review the

Glossary of some of the more common legal terms related to a lawsuit, which starts on page 27.



## Filing Your Case

In Part 1, we told you that when two or more people have a disagreement and want a judge to listen to the facts, we call this a “case” or a “lawsuit.” But before a judge can listen to the facts in any case, required case information must be given to the clerk’s office. This process is called “filing your case.” The U.S. District Court clerk’s office is responsible for keeping a record of all of the cases that are filed in federal court. Part 2 of the Pro Se Guide will tell you what must be provided to the clerk’s office so that your case can be filed.

### Rules that you must follow

Before you file your case, it may be helpful to review some of the rules you must follow:

- **[Federal Rules of Civil Procedure.](#)** These rules govern the filing of a civil lawsuit in federal court, and you should be familiar with them. Included in this packet is [Rule 4 of the Federal Rules of Civil Procedure](#), which describes the procedures of service of summons in civil cases (see Part 3, page 15). Be sure to read this rule carefully before the complaint and the summons form are served on the person or party that you have named in your case. You may direct questions about the procedure of service to the clerk’s office. Please remember that Rule 4 is only one of the rules that must be followed. For example, Rule 3 of the Federal Rules of Civil Procedure explains how an action is commenced, and Rule 5 of the Federal Rules of Civil Procedure explains the general filing and service requirements for pleadings filed after the complaint is served. [CLICK HERE to link to the Federal Rules of Civil Procedure.](#) A copy of these rules may be available at your local law library or you may view a copy in the clerk’s office.
- **[United States District Court, District of Kansas, Rules of Practice and Procedure for District and Bankruptcy Court.](#)** This is a collection of “local rules” that are written for the practice of law here in Kansas federal courts. They are published as additions to the Federal Rules (see above), which govern federal law in a more general sense. All district courts have them, and local rules will differ from court to court, so *please remember to refer ONLY to Kansas local rules.* Both Local Rules and the Federal Rules must be followed. [CLICK](#)



[HERE to link to the Local Rules.](#) A copy of these rules may be available at your local law library or you may get a copy in the clerk's office.

- [Kansas Statutes Annotated \(KSA\), Chapter 60, Civil Procedure.](#) These statutes have also been provided as a guide to the actual process of making service of your lawsuit on the opposing party (see Part 3, page 15), such as how service can be made, and by whom.
- [Administrative Procedures for Filing, Signing, and Verifying Pleadings and Papers by Electronic Means in Civil Cases.](#) These procedures will tell you how to file your case using the court's electronic filing system. Part 2, page 12 gives instructions on filing original documents in person by facsimile or by e-mail. [CLICK HERE](#) to link to the Administrative Procedures.

## Forms you will need to complete

First you will need to know some basic terms. The person filing the lawsuit is known as the plaintiff. The person, business, or organization you are filing the lawsuit against is the defendant. The plaintiff and defendant are also called parties. The parties should be identified as either the plaintiff or defendant on all pleadings and documents you file with the court. You may find it helpful to use these terms along with the party's name (e.g., Plaintiff Smith or Defendant Jones). This assists with identifying who you are talking about if there are many parties to the lawsuit.

In order to file your case, you will need to complete the following three forms. These forms can be found on the right-hand side of your pro se packet folder. The forms are also available on the court's website at [www.ksd.uscourts.gov](http://www.ksd.uscourts.gov) or in the clerk's office.

- [Complaint](#)
- [Civil Cover Sheet](#)
- [Summons](#)

## Complaint

The complaint is the first document filed. It tells the judge who you are suing, what your case is about, and what you want the court to do about it. If you have decided to file your lawsuit in U.S. District Court for the District of Kansas, you will need to file a complaint in the clerk's office. You may write your own complaint or use a form available in the clerk's office or at [www.ksd.uscourts.gov](http://www.ksd.uscourts.gov) under forms. Be sure that all of your documents are typed or legibly printed on 8 1/2 x 11 plain white paper. Below is an example of how the top part of the complaint should look. This is called the case caption. You will put a case caption on all of the documents that you file in your case.



**HINT:** Make sure your complaint is clear and understandable, and in neat, easy-to-read writing. Be certain the spellings of all defendants' names are correct.

This is the time to present the facts of the case: what happened, where it happened, when it happened, how it happened, and who was involved. You may choose to support your complaint with evidence (for example, many plaintiffs will provide the Right to Sue letter from the Equal Employment Opportunity Commission [EEOC] in discrimination cases), **but you do not need to supply all the evidence you may have collected at this time.** There will be opportunities for that as the case moves toward trial. This is the time for laying out the basic facts of your claim. NOTE: If your complaint does not include sufficient facts to allow the court to draw the reasonable inference that the defendant is liable for the misconduct you allege, your complaint may be subject to dismissal.



**NOTE:** These instructions are only a summary. It is your responsibility to follow the Rules.

# Civil Cover Sheet

7629 44 (Rev. 11/04)

**CIVIL COVER SHEET**

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the local docket sheet. (SEE INSTRUCTIONS ON THE REVERSE OF THE FORM.)

<b>I. (a) PLAINTIFFS</b>		<b>DEFENDANTS</b>	
(b) County of Residence of First Listed Plaintiff <small>(EXCEPT IN U.S. PLAINTIFF CASES)</small>		County of Residence of First Listed Defendant <small>(IN U.S. PLAINTIFF CASES ONLY)</small>	
(c) Attorney's (Firm Name, Address, and Telephone Number)		Attorneys (If Known)	
<b>II. BASIS OF JURISDICTION</b> <small>(Place an "X" in One Box Only)</small>		<b>III. CITIZENSHIP OF PRINCIPAL PARTIES</b> <small>(Place an "X" in One Box for Plaintiff and One Box for Defendant)</small>	
<input type="checkbox"/> 1 U.S. Government Plaintiff <input type="checkbox"/> 2 U.S. Government Defendant <input type="checkbox"/> 3 Federal Question <small>(U.S. Government Not a Party)</small> <input type="checkbox"/> 4 Diversity <small>(Indicate Citizenship of Parties in Item III)</small>		<input type="checkbox"/> 1 Citizen of This State <input type="checkbox"/> 2 Citizen of Another State <input type="checkbox"/> 3 Citizen or Subject of a Foreign Country <input type="checkbox"/> 1 Plaintiff <input type="checkbox"/> 2 Plaintiff <input type="checkbox"/> 3 Plaintiff <input type="checkbox"/> 4 Plaintiff <input type="checkbox"/> 1 Defendant <input type="checkbox"/> 2 Defendant <input type="checkbox"/> 3 Defendant <input type="checkbox"/> 4 Defendant	
<b>IV. NATURE OF SUIT</b> <small>(Place an "X" in One Box Only)</small>			
<b>A. SUBJECT MATTER</b>		<b>B. BASIS OF SUIT</b>	
<input type="checkbox"/> 110 Insurance <input type="checkbox"/> 120 Marine <input type="checkbox"/> 130 Miller Act <input type="checkbox"/> 140 Negotiable Instrument <input type="checkbox"/> 150 Recovery of Overpayment & Enforcement of Judgment <input type="checkbox"/> 151 Medicare Act <input type="checkbox"/> 152 Recovery of Defaulted Student Loans <input type="checkbox"/> 153 Recovery of Overpayment (Excl. Veterans) <input type="checkbox"/> 160 Member's Benefit <input type="checkbox"/> 180 Other Contract <input type="checkbox"/> 190 Contract-Product Liability <input type="checkbox"/> 200 Prerequisite	<input type="checkbox"/> 310 Airplane <input type="checkbox"/> 315 Airframe Product <input type="checkbox"/> 320 Assembly, Label & Enforcement of Employment <input type="checkbox"/> 330 Federal Employee's <input type="checkbox"/> 340 Marine Product <input type="checkbox"/> 350 Motor Vehicle <input type="checkbox"/> 355 Motor Vehicle-Product Liability <input type="checkbox"/> 360 Other Personal Injury <input type="checkbox"/> 370 Other Fraud <input type="checkbox"/> 371 Trade in Lending <input type="checkbox"/> 380 Other Personal Injury <input type="checkbox"/> 381 Property Damage <input type="checkbox"/> 382 Property Damage-Product Liability <input type="checkbox"/> 390 Other Personal Injury <input type="checkbox"/> 400 Personal Injury <input type="checkbox"/> 410 Motion to Vacate Sentence <input type="checkbox"/> 420 Other Criminal <input type="checkbox"/> 430 Habeas Corpus <input type="checkbox"/> 440 Housing-Accommodations <input type="checkbox"/> 445 Welfare <input type="checkbox"/> 446 Age or Disabilities-Employment <input type="checkbox"/> 447 Age or Disabilities-Other <input type="checkbox"/> 448 Other Civil Rights	<input type="checkbox"/> 410 Agriculture <input type="checkbox"/> 610 Other Food & Drug <input type="checkbox"/> 620 Drug Related Injuries of Property 21 USC 881 <input type="checkbox"/> 630 Labor Laws <input type="checkbox"/> 640 R.E. & Tools <input type="checkbox"/> 650 Airline Injuri <input type="checkbox"/> 660 Occupational Safety Health <input type="checkbox"/> 690 Other <input type="checkbox"/> 710 Fair Labor Standards Act <input type="checkbox"/> 720 Labor-Organ. Relations Act <input type="checkbox"/> 730 Labor/Agri. Reporting & Enforcement Act <input type="checkbox"/> 740 Railway Labor Act <input type="checkbox"/> 750 Other Labor Litigation or Defect/Deficiency <input type="checkbox"/> 760 Equal. Act, Inc. Security Act <input type="checkbox"/> 820 Appellate 28 USC 136 <input type="checkbox"/> 821 Withdrawal 28 USC 137 <input type="checkbox"/> 830 Bank and Banking <input type="checkbox"/> 835 Commerce <input type="checkbox"/> 840 Copyright <input type="checkbox"/> 845 Patent <input type="checkbox"/> 849 Trademark <input type="checkbox"/> 890 Other	<input type="checkbox"/> 400 State Responsibility <input type="checkbox"/> 410 Arbitrat <input type="checkbox"/> 430 Bank and Banking <input type="checkbox"/> 435 Commerce <input type="checkbox"/> 490 Corporations <input type="checkbox"/> 470 Banknote Influence and Control Operations <input type="checkbox"/> 480 Consumer Credit <input type="checkbox"/> 490 Customer/Commercie/Exchange <input type="checkbox"/> 473 Customer Challenge <input type="checkbox"/> 475 U.S. 3410 <input type="checkbox"/> 490 Other Statutory Actions <input type="checkbox"/> 491 Agricultural Act <input type="checkbox"/> 492 Economic Sanction Act <input type="checkbox"/> 493 Environmental Matters <input type="checkbox"/> 494 Energy Allocation Act <input type="checkbox"/> 495 Freedom of Information Act <input type="checkbox"/> 496 Appeal of Tax Determination Under Equal Access <input type="checkbox"/> 497 Constitutionalality of State Statutes
<b>V. ORIGIN</b> <small>(Place an "X" in One Box Only)</small>			
<input type="checkbox"/> 1 Original Proceeding <input type="checkbox"/> 2 Removed from State Court <input type="checkbox"/> 3 Remanded from Appellate Court <input type="checkbox"/> 4 Reinstated or Rescinded <input type="checkbox"/> 5 Transferred from another district <input type="checkbox"/> 6 Multidistrict Litigation <input type="checkbox"/> 7 Appeal to District Judge from Magistrate Judgment			
<b>VI. CAUSE OF ACTION</b> <small>Brief description of cause.</small>			
<b>VII. REQUESTED IN COMPLAINT:</b> <small>CHECK IF THIS IS A CLASS ACTION UNDER F.R.C.P. 23</small> DEMAND \$ _____ CHECK YES only if demanded in complaint. JURY DEMAND: <input type="checkbox"/> Yes <input type="checkbox"/> No			
<b>VIII. RELATED CASE(S) IF ANY</b> <small>(See Instructions)</small> JUDGE _____ DOCKET NUMBER _____			
DATE _____ SIGNATURE OF ATTORNEY OF RECORD _____			
<b>FOR OFFICE USE ONLY</b>			
RECEIPT # _____	AMOUNT _____	APPLYING OFF _____	JUDGE _____ MAG. JUDGE _____
<input type="button" value="Print"/>	<input type="button" value="Save As..."/>	<input type="button" value="Export as PDF"/>	<input type="button" value="Retrieve PDF File"/>
<input type="button" value="Reset"/>			

The **Civil Cover Sheet** asks information about your case. It is broken down into eight sections:

## SECTION I

- Asks the name of the plaintiff(s) and the defendant(s).

- Asks for the counties where the parties are located. We need to know this in order to help us assign the case to the right office.

- Asks for the name, address and telephone number of the attorney handling your case. Since you are acting as your own attorney, please put your own information.

## SECTION II

**Basis of Jurisdiction** tells us which of four types of cases you are filing:

- **Government as the plaintiff** - This one will **not** apply to you. This is only for government use.
- **Government as the defendant** - Mark this if you are filing a case against a federal agency or the U.S. government.
- **Federal Question** - Mark this if your case is about a federal law.
- **Diversity** - Mark this if you and the defendant live in different states and your case involves a claim valued in excess of \$75,000.00.

Please mark the one item that best describes why your case is being filed in federal court. If you are not sure, the clerk's office may be able to help you.

SECTION III

**Citizenship of Principal Parties** is used *only if you indicated "Diversity" in section II*. Diversity refers to cases where the parties involved are from different states. Pick one box that says where the plaintiff is located and one box to show where the defendant is located.

SECTION IV

**Nature of Suit** says very generally what your case is about. Please check only one box only.

SECTION V

**Origin** gives 7 options. You will most likely choose option one, "original proceeding," meaning that you are filing a new lawsuit.

SECTION VI

**Cause of Action** asks for a brief statement telling us what your case is about. Something like, "I am suing my employer for violating my civil rights for not promoting me." You do not need to list a civil statute, but it is helpful if you have one identified.

SECTION VII

**Requested in Complaint** asks that you write the dollar amount and/or other relief you requested in the complaint and check the box to show whether you demanded a jury trial.

SECTION VIII

**Related Case(s) If Any** asks if there are any related cases. If you sued the same defendants in this or any other court or made the same or similar claims against another defendant, write down the name of the judge and the case number. Please date and sign the form where it says, "Signature of Attorney of Record."

## Summons in a Civil Action

The [Summons](#) is a document which demands the defendant to respond to the complaint. In the blank that asks for the name and address of the defendant being served, you can only put down one name - the party you are suing - and his/her address. If you are suing more than one person, ***each individual defendant gets his/her own summons*** so you must fill out a summons form for each individual defendant. The clerk's office cannot issue summonses without this information.

%AO 449 (Rev. 8/01) Summons in a Civil Action

---

UNITED STATES DISTRICT COURT

District of \_\_\_\_\_

---

SUMMONS IN A CIVIL ACTION

v.

CASE NUMBER: \_\_\_\_\_

TO: (Name and address of Defendant)

**YOU ARE HEREBY SUMMONED** and required to serve on PLAINTIFF'S ATTORNEY (name and address)

an answer to the complaint which is served on you with this summons, within \_\_\_\_\_ days after service of this summons on you, exclusive of the day of service. If you fail to do so, judgment by default will be taken against you for the relief demanded in the complaint. Any answer that you serve on the parties to this action must be filed with the Clerk of this Court within a reasonable period of time after service.

\_\_\_\_\_  
CLERK

\_\_\_\_\_  
DATE

(By) DEPUTY CLERK

Remember that each defendant gets his or her own summons to be served on his or her defendant and that one additional summons per defendant will be required to be returned to the clerk's office for filing after service has been made on each defendant. This means ***at least two copies will need to be presented to the clerk for signature.*** After serving the defendants, the second copy will be entered into the file as proof that the defendant has been served in the case. However, if you would like a copy for your records too, you will want to submit three

copies of each summons: one to serve, one to return to the clerk, and one to be kept for your own records. Do not put more than one party and address on a summons form. We should be able to look at your completed summons form and see exactly which party is going to receive it.

In the space that gives the defendants the number of days they have to answer, please write in, "21." If you are suing the United States government, enter "60."



**HINT:** The summons may only be issued to parties that are named as defendants in the complaint.

Other forms included in your packet (you are not required to complete these)

- [Motion to Proceed Without Prepayment of Fees](#)
- [Motion for Appointment of Counsel](#)
- [Affidavit of Financial Status](#) (required for the above motions)

## Motion to Proceed Without Prepayment of Fees

The fee to file a complaint is \$402.00. There are no other fees involved in the act of filing the case. If you do not have the \$402.00 fee, you may apply to have the fee “waived,” which means that you may file your case without paying the fee. You must fill out the **Motion to Proceed Without Prepayment of Fees** form and the Affidavit of Financial Status and turn these forms in when you are filing your complaint. The court will then decide if you have to pay the fee.

It is **very** important to fill out all parts of this form with as much information as possible since the judge will use this information to determine if you have the financial ability to pay the filing fee. This includes providing information about your spouse. Also, just because you do not have any cash on hand does not mean that you are unable to pay the filing fee. If you have assets, such as equity in your home, own several cars or rental property, or have other sources of income, the court may find that you can obtain the funds to pay the filing fee.

IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF KANSAS

\_\_\_\_\_) )  
Plaintiff(s), ) )  
v. ) ) Case No. \_\_\_\_\_ ) )  
\_\_\_\_\_) )  
\_\_\_\_\_) )  
Defendant(s). ) )

**MOTION TO PROCEED WITHOUT PREPAYMENT OF FEES**

I, \_\_\_\_\_, ask the court for permission to continue with this case without the payment of fees and costs. In support of my request, I state, under penalty of perjury, that the following facts are true:

(1) I am the plaintiff in this case and I believe that I am entitled to relief.

(2) This case is about:  
\_\_\_\_\_  
\_\_\_\_\_

(3) Because of my financial status, I am unable to pay the fees and costs of this case.

(4) I have completed and am filing an Affidavit of Financial Status with this motion.

Executed this \_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_.

## Motion for Appointment of Counsel

If you would like an attorney and cannot afford to hire one, the court may appoint an attorney to represent you, which means that the court asks a lawyer to handle your case at minimal or no cost to you. However, there is no constitutional right to an appointed attorney and the court only does so in rare cases. To ask the court to appoint a lawyer, please fill out the **Motion for Appointment of Counsel** form and the **Affidavit of Financial Status**. The judge bases the decision to appoint an attorney on several factors:

1. **Does your case have merit?** In other words, do you allege facts which may suggest you could ultimately prevail on your claims under the law?
2. **How complex is your case?** The court will consider whether your case involves unusually complicated facts or legal issues.
3. **Can you prepare and present your case without the help of counsel?** The court will look

IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF KANSAS

\_\_\_\_\_) )  
Plaintiff(s), ) )  
v. ) ) Case No. \_\_\_\_\_ ) )  
\_\_\_\_\_) )  
\_\_\_\_\_) )  
Defendant(s). ) )

**MOTION FOR APPOINTMENT OF COUNSEL  
AND DECLARATION OF GOOD FAITH EFFORTS TO OBTAIN COUNSEL**

I, \_\_\_\_\_, ask the court to appoint counsel, i.e., an attorney to represent me in this case. I understand that any false statements in this motion will subject me to penalties of perjury.

I understand that in civil cases, there is no constitutional right to an appointed attorney. While the court may appoint an attorney to represent me, it does so only in rare cases. I understand that if the court does not appoint an attorney, I must be prepared to represent myself going forward in this case.

I understand that in deciding whether to appoint an attorney, the court will consider a variety of factors, including but not limited to the following: (1) the merits of my claims; (2) the nature and complexity of factual and legal issues raised in the claims; (3) my ability to present the claims; (4) my financial ability to pay an attorney; and (5) my diligence in attempting to secure an attorney.

I understand that with regard to the fifth factor, I must show that I have made a reasonably diligent effort under the circumstances to obtain an attorney to represent me. I understand that the

at your complaint to see if you are able to explain your case clearly.

4. **Do you have the financial ability to hire counsel?** If you have not already filed an Affidavit of Financial Status, you must file one with your motion for appointment of counsel.
5. **Have you made reasonable efforts to hire counsel?** In your completed motion, you are required to contact a minimum of five attorneys and you must summarize your conversation with the attorneys included in your motion. Listing attorneys’ names and phone numbers is not sufficient.

## Affidavit of Financial Status

IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF KANSAS

	)	
Plaintiff(s),	)	
	)	
vs.	)	Case No. _____
	)	
	)	
Defendant(s).	)	

**AFFIDAVIT OF FINANCIAL STATUS<sup>1</sup>**

I, \_\_\_\_\_, state that I am the plaintiff in this case and that the following information regarding my current financial status is true.

I. PERSONAL DATA AND MARITAL STATUS:

A. My address: \_\_\_\_\_  
\_\_\_\_\_

My telephone: \_\_\_\_\_

My age : \_\_\_\_\_

B. Single: \_\_\_\_ Married: \_\_\_\_ Separated: \_\_\_\_ Divorced: \_\_\_\_

Spouse's name: \_\_\_\_\_

Spouse's age: \_\_\_\_\_

Spouse's address (if different from mine): \_\_\_\_\_

The **Affidavit of Financial Status** is a sworn description of your assets, to be used by the judge as a guide in ruling on your motions to proceed without prepayment of fees and/or for appointment of counsel. It is very important that you fill out the Affidavit of Financial Status as accurately and completely as possible, as incomplete Affidavits may cause your motion(s) to be denied on that basis. Before you file your case, it is recommended that you gather the appropriate information from home.

## How to file your case

- **Come to one of our clerk’s offices** – this is a great option if you are filing a case for the first time and have questions. We can also make sure that your forms are filled out completely. Here are the clerk’s office addresses:

259 United States Courthouse  
500 State Ave.  
Kansas City, KS 66101  
(913) 735-2200

490 United States Courthouse  
444 S.E. Quincy  
Topeka, KS 66683

(785) 338-5400

204 United States Courthouse  
401 N. Market  
Wichita, KS 67202  
(316) 315-4200

- **Send by fax** – You may fax us a copy of your original document with your signature on it. If you are filing a new case, you will need to contact the clerk’s office and make arrangements to pay the filing fee before you fax the new case. Below are the clerk’s office fax numbers:

Kansas City: (913) 735-2201  
Topeka: (785) 338-5401  
Wichita: (316) 315-4201

- **Send by e-mail** – If you would like to send your documents by e-mail, you must send them in .pdf format. You can scan the documents, or you can use various software programs to convert them into the proper .pdf format. You may also sign the documents by using an electronic signature. An electronic signature is made by typing “s/ Your Name” (for example, “s/ Jane Doe”) If you choose to do this, you must to send the original copies of the documents to the clerk within 5 business days. Here are the clerk’s office e-mail addresses:

[ksd\\_clerks\\_kansascity@ksd.uscourts.gov](mailto:ksd_clerks_kansascity@ksd.uscourts.gov)  
[ksd\\_clerks\\_topeka@ksd.uscourts.gov](mailto:ksd_clerks_topeka@ksd.uscourts.gov)  
[ksd\\_clerks\\_wichita@ksd.uscourts.gov](mailto:ksd_clerks_wichita@ksd.uscourts.gov)



**NOTE:** If you do not personally come to the clerk’s office, you will want to call the clerk’s office to make sure your case information has arrived.

## What does the clerk’s office do with the case information?

The clerk who is helping you will review the documents in your case to make sure we have everything we need. He or she will make sure that all of the questions have been answered on the forms. If everything is in order, and you are paying the filing fee, the clerk will:

- file-stamp your documents (the file-stamp shows the date and time you filed the case),
- assign a case number and judges (both a district judge and a magistrate judge),

- sign your summons forms, and
- write you a receipt for the \$402.00 filing fee.

**If you are asking that the filing fee be waived, no summons will be issued.** If the judge orders the filing fee waived, the clerk will prepare and serve the summons on your behalf after you provide the complete addresses. If your application is **denied**, you will receive an order in the mail to this effect, with instructions as to when the filing fee must be paid. **Your case may be dismissed if this date is missed.** If you are required to pay the fee, then it will be your responsibility to serve the defendants with your complaint and the summons. For more information on how to serve the defendants, please refer to Part 3.

## Paying court fees and payment options

Below are your options for paying court fees. If you have any questions about fee payment, please contact the clerk's office.

- Check made payable to "Clerk, U.S. District Court" (personal, cashiers checks or money order accepted)
- Cash (exact amount required)
- Credit card

## Electronic filing system

Once you have filed your initial documents and your case is on file with the court, you may file documents in your case on-line, or electronically, using our Case Management/Electronic Case Filing System (CM/ECF). This system not only allows you to file your documents without coming in to the clerk's office, it also allows you to see everything that is filed by you (the plaintiff), the court, the defense and any other parties in your case. If you would like to learn more about filing your case electronically you may go to our website at [www.ksd.uscourts.gov](http://www.ksd.uscourts.gov). Public computer terminals to access CM/ECF are available for use at any of our clerk's offices.

## What the clerk's office can and cannot do

Our office is happy to help you if we are able. However, because we must be fair to everyone, we are only allowed to help you in certain ways. Printable forms and answers to frequently asked questions are available online. Please visit our website at [www.ksd.uscourts.gov](http://www.ksd.uscourts.gov).

### WE CAN:

- Explain and answer questions about how the court works.
- Provide you with the phone numbers and addresses of local lawyer services, legal aid services, and/or state libraries.



- Give you general information about the court rules, procedures, and practices.
- Provide you with information from your case file and help you look at it from the public computer station.
- Provide you with available court forms and instructions.

**WE CANNOT:**

- Tell you whether or not you should file a new case.
- Tell you what words you should use in your court pleadings/papers.
- Talk to the judge for you or let you talk to the judge outside of court.
- Tell you what you should say in court.
- Compute deadlines in your case.



**HINTS:**

**WHEN YOU GO TO THE CLERK'S OFFICE:**

- You must show a photo ID to enter the courthouse. You must pass through courthouse security.
  - Do not bring cell phones, cameras, recording devices, or other electronic devices (such as MP3 players).
  - No weapons of any type, drugs, or other illegal items are allowed.
  - Have your information/case organized.
  - Do not be afraid to ask questions; however, the clerk's office staff cannot give you legal advice or answer questions about procedures of other agencies.
  - Have your fees (cash, check, money order or credit card will be accepted) ready for payment.
-

## Service of Summons and Complaint

### What does service mean?

**Y**ou are required to let the defendant(s) know that you have filed a case against them in federal court. You do this by having the deputy clerk sign and stamp the court's seal on the summons form that you filled out (on page 9). Each defendant must receive a copy of the stamped summons form along with a copy of your complaint. This process is called “service” or “serving the defendant(s)” or “service of process.”

### When must it be done?

If you are suing under federal law, you have 90 days from the date you filed the complaint to serve the defendants in your case. [Fed. R. Civ. P. 4\(m\)](#). If your case has a claim under state law, you might have fewer than 90 days to serve the defendant(s). [K.S.A. § 60-203\(a\)](#). Make sure you understand the applicable federal and state rules and time limits or your case could be dismissed.

### Who must be served?

All of the defendants that you have named in your complaint must be served. The defendants who are not served within the required time limit may be dismissed from your lawsuit. It is also important to note that the clerk will only issue summons upon parties named as defendants in your complaint.



**HINT:** After you have filed your case, attempt to serve the stamped summons and a copy of your complaint on the defendant(s) as soon as possible!

---

## Who serves the documents?

### Did you pay the \$402 filing fee?

1. If the answer is yes, here are some of your options:
  - **You can hire a private process server.**
  - **You can ask someone who meets the necessary requirements to be your process server and personally deliver the summons and complaint.** The rules say that the summons can be served by anyone who is not a “party” and is at least 18. You cannot personally give the summons to the defendant(s) because both of you are “parties” in your case. [Fed. R. Civ. P. 4\(c\)\(2\)](#).
  - **Your process server can send the summons to the defendant(s) by certified mail.** [Fed. R. Civ. P. 4\(e\)\(1\)](#), [K.S.A. § 60-303, 304](#).
  - **Your process server can serve the defendant using certain state law methods or under the provisions of Rule 4 of the Federal Rules of Civil Procedure.** [Fed. R. Civ. P. 4\(e\)\(1\)](#), [K.S.A. § 60-303, 304](#).
  - **If you are suing an individual person, your selected process server may leave a copy of the summons and complaint at an individual defendant’s home (not a business) with someone of suitable age and discretion who lives there.** [Fed. R. Civ. P. 4\(e\)\(2\)\(B\)](#). If your process server is unable to serve the defendant at home and has filed a return of service stating that personal service was refused, only then may you serve them at work. [K.S.A. § 60-304\(a\)](#).
  - **If the defendant is a business, your process server may serve the officer or manager or other person in charge at the business office, or serve the registered agent.** [Fed. R. Civ. P. 4\(h\)](#); [K.S.A. § 60-304\(e\)](#).
  - **If the defendant is a federal or state government agency, please see the sections with specific instructions below.**
  - **There are many other rules for service of the complaint and summons, too numerous to list in these brief instructions.** Please refer to both the Federal Rules of Civil Procedure ([Fed. R. Civ. P. 4](#)) and the Kansas Statutes ([K.S.A. § 60-303, 304](#)) for other specific rules.
2. **If the answer is no and the court told you that you don’t have to pay the filing fee by granting your motion to proceed without prepayment of fees, the court will serve the summons for you.** However, you will need to provide an appropriate and current address to the clerk in order for the summons to be issued.



**HINT:** If the defendant is a company that does business in Kansas, the easiest way to serve the summons and complaint is on the resident agent. The resident agent is a person or company with a Kansas address who is authorized to accept service of process. You can find out if a company has a resident agent by contacting the Kansas Secretary of State at (785) 296-4564 and at <http://www.kssos.org>.

---

## Serving a summons on a federal agency

If you are suing the United States Government, or its agencies, officers, or employees, the Federal Rules say that your selected process server will need to serve summonses on three separate parties. [Fed. R. Civ. P. 4\(j\)](#). They are:

1. The head of the agency you are suing
2. The United States Attorney in Kansas; AND
3. The United States Attorney General in Washington, DC.

For more information please see the handout “If you are suing the United States Government” in your Pro Se Guide packet.

## Serving a summons on a Kansas state agency

If you are suing an agency of the state of Kansas, a county entity, a city or township, or any other state corporation, you must follow the specific rules in [K.S.A. § 60-304\(d\)](#). *See also* [Fed. R. Civ. P. 4\(j\)](#). For more information, please see the handout “If you are suing a city, county, or agency of the Kansas government” in your Pro Se Guide packet.

## How does the court know when the summons has been served?

Whoever serves the summons and complaint on the defendant must complete the Return of Service information on the back side of the summons and return it to you. You will then file it with the clerk’s office. If you send the summons by certified mail, you will receive the green certified mail receipt from the post office after the summons has been delivered. You will then attach the green receipt to the service page (2<sup>nd</sup> page) of your copy of the summons and file it with the clerk’s office. *See* [Fed. R. Civ. P. 4\(f\)](#); [K.S.A. § 60-312](#).

## What Happens After the Case is Filed

### Case assignment

**T**he clerk's office assigns the case a number that must appear on all documents. The case will randomly be assigned to two judges – a district judge and a magistrate judge. You cannot choose your judges.

The district judge may refer your case to the magistrate judge to handle nondispositive matters leading up to the trial of your case. For instance, a district judge may refer the case to the magistrate judge to handle all issues relating to discovery (for example, identification and exchange of preliminary information, documents, exhibits, or witnesses). [28 USC § 636](#). If the magistrate judge enters an order that you believe is clearly wrong or contrary to law, you have 14 days to ask the district judge to review the decision. [Fed. R. Civ. P. 72](#). This is done by filing a motion to review.

The district judge may also refer dispositive motions, such as motions to dismiss and motions for summary judgment to the magistrate judge for a report and recommendation. The magistrate judge will review the motion and issue a recommendation, which advises how the district judge should rule on the motion. The parties then have 14 days to object in writing to the recommendation. [Fed. R. Civ. P. 72](#). After the district judge considers the recommendation and any objections, the district judge will issue a decision. This decision is the final decision by the district court. A party who disagrees with the district court's decision may be able to appeal it to the Tenth Circuit Court of Appeals at the end of the case.

The magistrate judge may handle the entire case if all of the parties in the case consent. [Fed. R. Civ. P. 72](#), [Local Rule 72.1.1\(h\)](#). In such cases, the district judge will take no part in the case. The clerk's office can provide you with the form to have your case handled by a magistrate judge or you may get a copy of the form from the court's website. In cases where the parties have consented to the magistrate judge handling the entire case and the magistrate judge enters a *final* order that you believe is clearly wrong you may not ask the district judge to review the order. At the end of the case, you may be able to appeal the magistrate judge's final order to the Tenth Circuit Court of Appeals. [Fed. R. Civ. P. 73 \(c\)](#).



---

**HINT:** Do not ask the district judge to review every order of the magistrate judge. You should only make this request when you believe the order is **clearly** wrong or contrary to the law.

---

## A judge rules on the motion to proceed without prepayment of fees (if filed)

If you do not have the \$402.00 fee, you may apply to have the fee “waived,” which means that you may file your case without prepaying the fee (see Part 2, on page 10). You must file a [Motion to Proceed Without Prepayment of Fees](#) (a copy of this motion is included in this packet and on the court’s website). You must also fill out the [Affidavit of Financial Status](#) (see Part 2, on page 11). If the judge grants your motion, you will not have to pay the filing fee and your case will proceed. The deputy clerk will issue the summons and the U.S. Marshals Service will then serve the summons (see Part 3).

If the judge denies your motion, you will be given a deadline to pay the fee. If you are not incarcerated, you must pay the \$402.00 fee all at one time. After you pay the fee, **you** must serve the summons on defendants (see Part 3).

If you do not pay the fee, your case cannot proceed and will likely be dismissed.

## A judge rules on the motion for appointment of counsel (if filed)

If you would like an attorney, and cannot afford to hire one, the court **may** appoint an attorney to represent you, however, there is no constitutional right to an appointed attorney and the court only does so in rare cases.

To ask the court to appoint a lawyer, you must file a Motion for Appointment of counsel (see Part 3, on page 10). (A copy of this motion is included in this packet and is on the court’s website.)

If the judge grants your motion, you will be sent an order that contains the name, address and phone number of the lawyer who will be handling your case.

If the judge denies your motion, you must either represent yourself or find an attorney to represent you.

## Defendants do not file an answer

- **Entry of default** - A party is in default when it fails to respond to a summons and complaint served on the party in the time required by law. If a party is in default, the plaintiff can file a written request that the default be entered into the court record by the clerk, which gives the plaintiff the opportunity to get a default judgment.
- **Default judgment** - Once the clerk's office enters a party's default, the court may enter default judgment in favor of the plaintiff. Default judgment is a judgment awarding the plaintiff the relief sought in the complaint because the defendant has failed to appear in court or otherwise respond to the complaint. (*See* Judgment, p.23)

## Defendants file an answer

Each named defendant responds to the complaint by filing an answer or a motion. The case will proceed to the pretrial stage.

## Pretrial stage

After the defendant or defendants have entered their appearance in your case, either by filing an answer or a motion, the case will move into the pretrial stage.

You will receive an order from the court setting a scheduling conference with the magistrate judge. This conference will likely be the first meeting with the judge. This conference may be held in the courthouse or by telephone conference call.

Before the conference, you and defendant(s) or their attorney(s) will meet, either in person or by telephone conference call, and discuss various matters and prepare a report for the court. This report will include a proposed schedule for the case. The attorney(s) for defendant(s) will prepare the report and submit it to the magistrate judge.

At the scheduling conference, the magistrate judge will review the report with you, and the attorney(s) for the defendant(s), and will prepare and file a Scheduling Order. The scheduling order will set deadlines for doing or filing certain things in a case. It includes deadlines for discovery (getting information from each other about the case), filing motions, a pretrial conference and possible trial setting.

## D I S C O V E R Y

There are several ways that you and the defendant will exchange information about the case. The court does not accept filings that have to do with discovery. ([See Local Rule 26.3.](#)) Disclosures, interrogatories, requests for production or inspection and requests for admission and responses shall be served upon other counsel or parties, if not represented by counsel, but shall not be filed with the clerk.

- **DEPOSITIONS** - This is like an interview where you, the defendants, or another person, like a witness, answer questions in person and under oath. The deposition will be recorded by tape or video recording and/or by a court

reporter [Fed. R. Civ. P. 30](#) Court reporters charge a per-page fee to transcribe the testimony and prepare a written transcript.

- INTERROGATORIES - These are written questions that must be answered in writing, under oath. [Fed. R. Civ. P. 33](#)
- DOCUMENT REQUESTS - These are written requests asking for documents and tangible things (like a defective product). The term “document” can include all forms of recorded information such as drawings, graphs, charts, photographs, etc. [Fed. R. Civ. P. 34](#)
- REQUESTS FOR ADMISSION - These are written requests asking you or the defendant(s) to admit that certain facts are true or that certain documents are genuine. [Fed. R. Civ. P. 36](#)

## Pretrial conference

At the scheduling conference, the court will have set a date for the pretrial conference. The pretrial conference will take place after discovery is completed (usually 4 months after the scheduling conference). You and the defendant(s) lawyer will work together in preparing a proposed pretrial order to be submitted to the magistrate judge. The conference will usually be conducted by telephone with the magistrate judge.

The pretrial order will take the place of the complaint and will be the “road-map” for the trial of the case. The trial will be limited to issues and claims raised in the pretrial order.

After the pretrial conference, the magistrate judge will no longer be involved in the case unless you consented to have the magistrate judge preside over the whole case. The district judge may hold a status conference before the trial.

## Dispositive motions

During the course of the case, a defendant or plaintiff may file a dispositive motion. Dispositive motions are motions that ask the court to decide claims or the case without a complete trial. They are used when there are no factual disputes between the parties and the court can decide a case or claim as a matter of law. Two common types of dispositive motions are motions to dismiss and motions for summary judgment. While there is no set time frame for ruling on dispositive motions, it could take several months or longer to resolve. Any represented party moving for summary judgment against a party proceeding pro se must send to you a “Notice To Pro Se Litigant Who Opposes a Motion For Summary Judgment.” For more information see [Local Rule 56.1](#).



## Trial

If your case goes to trial, it can be tried to the court (meaning that only the judge decides the case) or to a jury. Each judge may have specific court guidelines and if so, they are available at [www.ksd.uscourts.gov](http://www.ksd.uscourts.gov).

If your case is tried to the court, no jury is present and the judge will consider all evidence and make a ruling. Many times the judge will take the case under advisement. This means that the judge will issue a written order at a later date after the last day of trial. This can sometimes take several months.

If your case is tried to a jury, you will go through the process of selecting a jury, which is called “voir dire.” This process allows the judge, you, and counsel for the defendant to ask questions of potential jurors to see if there is a conflict for a juror to sit and fairly and impartially consider the evidence. Once this process is complete, the jurors will be sworn to try the case. In civil cases, eight (8) jurors will hear the evidence. There are no alternate jurors.

In either a court or a jury trial, as plaintiff, you get to present your evidence first. The Federal Rules of Evidence will determine whether you can present certain factual information to the court or jury. Your evidence will consist of exhibits and the testimony of witnesses who have agreed to come to court to testify on your behalf, or witnesses you have subpoenaed to testify. Please refer to the federal rules on the issuance of subpoenas for trial. [Fed.R.Civ.P.45](#). You will ask questions of each witness you call to testify. When you are finished asking questions, the defense will get to ask questions of your witnesses. When they have finished asking questions, you will have another chance to follow up on questions the defense asked, but you don’t get to ask new questions on topics not already discussed. The judge will control this process and, when asked, will make decisions about whether a question is proper or whether an exhibit should be admitted into evidence.

Once you have presented all of your exhibits and witnesses, the defense will get a chance to present exhibits and witnesses. You will be able to ask questions of defense witnesses. When the defense is through, you will have a chance to put on any additional witnesses. These are called “rebuttal witnesses.” If you have any rebuttal witnesses, you may not ask them any new questions on topics that were not already discussed. You can use rebuttal witnesses to try to show the testimony of defense witnesses was not accurate.

In a jury trial, the judge will prepare jury instructions. These are instructions on the law that the jury must apply to your case in making their decision. You and the defense counsel will be consulted on these instructions before they are read to the jury.

Once the jury has heard all the evidence and testimony and listened to the court’s instructions, they will go to the jury room to talk about the case. Once they have reached a decision, they will come back into court and the decision will be read. This is called a verdict. The judge will direct the clerk to prepare a judgment and the case will be closed.

If you tried your case to the court, the judge will evaluate all evidence and testimony. The judge ordinarily will issue a written order at a later date.

## Judgment

If you win, by default or at trial, the judge will have the clerk of court prepare a judgment stating the amount of damages you win from the defendant. The judgment will list the exact amount, in dollars and cents, and say which defendant owes you how much money. A deputy clerk will prepare and sign the judgment for the clerk.

If you lose at trial or by summary judgment, the judge will have the clerk of court prepare a judgment stating that the defendant owes you nothing. The defendant may also be able to recover money damages from you because they had to try the case and spend money and attorney fees defending the case. A deputy clerk will prepare and sign the judgment for the clerk.

Either you or the defendant may file an appeal to the Tenth Circuit Court of Appeals if you disagree with the court's decision.

## Notice of Appeal

You may appeal a final decision of this court to the United States Court of Appeals for the Tenth Circuit in Denver, Colorado. You should consult Federal Rule of Appellate Procedure 4 for the time limits.

The filing fee for an appeal is \$505.00 unless the court granted your Motion to Proceed Without Prepayment of Fees when your complaint was filed, in which case, you will not need to pay the filing fee for the appeal. If you paid the filing fee for your original case but you cannot afford to pay the appeal fee, you may file the forms "Motion to Proceed Without Prepayment of Fees" and "Affidavit of Financial Status" along with your appeal (see p. 10).

To file an appeal, you must file a notice of appeal with the clerk of this court. A Notice of Appeal form is available in the Federal Rules of Appellate Procedure Forms Index.

Information about the Tenth Circuit Court of Appeals can be found at: [www.ca10.uscourts.gov](http://www.ca10.uscourts.gov)



#### WHEN YOU ARE IN COURT:

- You must show a photo ID to enter the courthouse.
  - Do not bring your cell phone, cameras, or recording devices.
  - No weapons, drugs, or other illegal items allowed.
  - Dress properly and take off your hat (be neat and clean).
  - No gum chewing; no eating; no drinking; no reading newspapers or magazines; no sleeping; no loud talking.
  - When the judge enters or leaves the courtroom, you must stand up.
  - Call the judge “Your Honor”; speak clearly.
  - Be respectful to the court security officers. They are here for your protection.
-

## Resources That May Help You

### Where can you get legal advice?

**I**f you cannot pay an attorney, but need legal advice, there are a number of agencies that may be able to help you:

Kansas Bar Association  
1-800-928-3111  
[http://www.ksbar.org/?page=lrs\\_public](http://www.ksbar.org/?page=lrs_public)

Kansas Legal Services  
(785) 233-2068 or 1-800-723-6953  
TDD#: (785) 233-4028  
<http://www.kansaslegalservices.org/>

Kansas City Metropolitan Bar Association  
(816) 221-9472  
[https://www.kcmba.org/web/Public/Find\\_a\\_Lawyer/web/KCMBA\\_Website/Public/Find\\_a\\_Lawyer.aspx?hkey=f2b14a7f-310d-4f1c-818e-38e3b4b8ff67](https://www.kcmba.org/web/Public/Find_a_Lawyer/web/KCMBA_Website/Public/Find_a_Lawyer.aspx?hkey=f2b14a7f-310d-4f1c-818e-38e3b4b8ff67)

Kansas Human Rights Commission  
(785) 296-3206 or 1-888-793-6874  
TTY: (785) 296-0245  
[www.khrc.net/main.html](http://www.khrc.net/main.html)

United States Equal Employment Opportunity Commission (EEOC)  
1-800-669-4000  
TTY: 1-800-669-6820  
[www.eeoc.gov/](http://www.eeoc.gov/)

Wichita Bar Association  
(316) 263-2251, extension 103  
[www.wichitabar.org/resources/lawyerreferral.php](http://www.wichitabar.org/resources/lawyerreferral.php)

Children's Advocacy Resource Center:  
1-877-298-2674

Elder Law Hotline:  
1-888-353-5337

Foster Care Help Line:  
1-877-298-2674 or 234-8345 (in Topeka)

## Where can you do legal research?

Johnson County Law Library  
100 North Kansas Avenue, 1st Floor  
Olathe, KS 66061  
Phone: (913) 715-4154  
<http://www.jocogov.org/dept/law-library/home>

Lyon County Law Library  
430 Commercial, 3rd Floor  
Emporia, KS 66801  
Phone: (620) 341-3472  
[www.lyoncounty.org/LawLibrary.html](http://www.lyoncounty.org/LawLibrary.html)

Sedgwick County Law Library  
225 N. Market Street, Suite 210  
Wichita, Kansas 67202  
Phone: (316) 263-2251, ext. 121 or 120  
[www.wichitabar.org/lawlibrary.php](http://www.wichitabar.org/lawlibrary.php)

University of Kansas Law Library, Green Hall  
1535 West 15th Street  
Lawrence, KS 66045  
Phone: (785) 864-3025  
<http://law.ku.edu/wheat-law-library>

Washburn University Law Library  
1700 Southwest College Street  
Topeka, KS 66621  
Phone: (785) 670-1088  
<http://washburnlaw.edu/library/>

For a complete list, please go to our website at [www.ksd.uscourts.gov](http://www.ksd.uscourts.gov).

## Glossary

- **Answer:** The formal written statement by a defendant responding to a civil complaint and setting forth the grounds for his/her defense.
- **Affidavit:** A written or printed statement made under oath.
- **Bench Trial:** A trial conducted before a judge without a jury. In such trials, the judge decides both questions of facts and questions of law. On the other hand, in a jury trial, questions of facts are determined by the jury and only questions of law are decided by the judge. The rules of evidence and procedural methods are the same in both.
- **Civil Cover Sheet:** A form that asks questions about your case and is required when filing a new case.
- **Complaint:** A written statement filed by the plaintiff that opens a civil case, stating what the plaintiff claims that the defendant did and requesting relief from the court.
- **Contract:** An agreement between two or more persons that creates an obligation to do or not do a particular thing.
- **Counsel:** Legal advice; a term also used to refer to the lawyers in a case.
- **Court:** Government entity that resolves legal disputes.
- **Damages:** Money paid by defendants to successful plaintiffs in civil cases to compensate the plaintiffs for their injuries.
- **Defendant:** In a civil case, the person, company, or organization that the plaintiff is suing.
- **District Judge:** A judge in the federal court system appointed for life by the President of the United States with confirmation by the United States Senate.
- **Dismiss a case:** When a judge dismisses a case, the judge essentially throws the case out of court, so that the moving party must refile initial pleading papers.
- **Evidence:** Information presented to a judge or jury, including testimony of witnesses and documents.
- **Federal Question Jurisdiction:** Jurisdiction given to federal courts in cases involving the U.S. Constitution, acts of Congress and treaties.
- **In Forma Pauperis:** “In the manner of a pauper.” Permission given by the court to a person to file a case without prepayment of the required court fees because the person cannot pay them.

- **Issue:** 1. The disputed point between parties in a lawsuit; 2. To send out officially, as in a court issuing an order.
- **Judge:** An official of the judicial branch with authority to decide lawsuits brought before courts.
- **Judgment:** The official decision of a court finally resolving the dispute between the parties to the lawsuit.
- **Jurisdiction:** 1. The legal authority of a court to hear and decide a case; 2. The geographic area over which the court has authority to decide cases.
- **Jury:** The group of persons selected to hear the evidence in a trial and render a verdict on matters of fact.
- **Lawsuit:** A legal action started by a plaintiff against a defendant based on a complaint that the defendant failed to perform a legal duty which resulted in harm to the plaintiff.
- **Magistrate Judge:** Judicial officers appointed by the judges of federal district courts pursuant to the United States Magistrates Act. Federal magistrate judges may be assigned some, but not all, of the duties of a United States District Court Judge.
- **Motion:** A request by a litigant to a judge for a decision on an issue relating to the case.
- **Party:** One of the litigants. At the trial level, the parties are typically referred to as the plaintiff and defendant.
- **Plaintiff:** The person who files the complaint in a civil lawsuit.
- **Pleadings:** Written statements filed with the court which describes a party's legal or factual assertions about the case.
- **Procedure:** The rules for conducting a lawsuit.
- **Pro Se:** A Latin term meaning "on one's own behalf"; in courts, it refers to persons who present their own cases without lawyers.
- **Record:** A written account of the proceedings in a case, including all pleadings, evidence, and exhibits submitted in the course of the case.
- **Sealed:** To close off (as records) from public access.
- **Statute:** A law passed by a legislature.
- **Summons:** A notice, typically served along with a complaint, informing the defendant that a lawsuit has been initiated and notifying the defendant where and when he or she must respond.

- **Testimony:** Evidence presented orally by witnesses during trials or before grand juries.
- **Witness:** A person called upon by either side in a lawsuit to give testimony before the court or jury.